



CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON NOVEMBER 16, 2001

Karen K Varley
AGENT/ATTORNEY FOR APPLICANT

November 15, 2001
DATE

Gp/1635

RECEIVED

FEB 07 2002

Attorney Docket No. 0803

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#11/K.T.

Applicant: Habben, et al.

Date:

November 16, 2001

2/20

Serial No.: 09/545,334

Group Art Unit:

1635

I.D.S.

Filed: April 7, 2000

Examiner:

M. Schmidt

For: "Regulated Expression of Genes in Plant Seeds"

Assistant Commissioner for Patents
Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED

INFORMATION DISCLOSURE COMMUNICATION
PERTAINING TO 37 C.F.R. §1.98(2)(i)

Applicant submitted "Filing of a Supplemental Information Disclosure Statement Under 37 CFR §1.97(c) and Certification Under 37 CFR §1.97(e)(1) or (e)(2)" and Form PTO-1449 on November 1, 2000 (copies of both documents are attached herewith). Reference A19 included with this filing was incomplete and illegible. Enclosed hereto is a substitution copy of the noted reference which is complete and more legible. Applicant respectfully requests the Examiner to replace the prior version of the citation with the version transmitted herewith. This statement is being filed within the time period specified in 37 C.F.R. §1.97(b). No fee is required.

Respectfully submitted,

Karen K Varley
Karen K. Varley
Agent for Applicant(s)
Registration No. 45,751

PIONEER HI-BRED INTERNATIONAL, INC.
Corporate Intellectual Property
7100 N.W. 62nd Avenue
P.O. Box 1000
Johnston, Iowa 50131-1000
Phone: (515) 334-6780
Facsimile: (515) 334-6883



CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON NOVEMBER 1, 2000

Karen K. Varley
AGENT/ATTORNEY FOR APPLICANT

November 1, 2000
DATE

RECEIVED

FEB 07 2002

TECH CENTER 1600/2900

Attorney Docket No. 0803

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Habben, et al. Date: November 1, 2000
Serial No.: 09/545,334 Group Art Unit: 1649
Filed: April 7, 2000 Examiner: Not known
For: "REGULATED EXPRESSION OF GENES IN PLANT SEEDS"

Assistant Commissioner for Patents
Washington, D.C. 20231

FILING OF A SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c) AND CERTIFICATION UNDER 37 C.F.R. §1.97(e)(1) OR (e)(2)

Information Disclosure Statement. The attached list of citations on PTO Form 1449 is being submitted under the provisions of 37 C.F.R. §1.56 and §1.97 in order to comply with the duty of disclosure. Their inclusion herein should not, however, be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention. Copies of each are enclosed, except for those references already part of the filewrapper. This statement is being filed with the required Certification and without any fee, in accordance with the provisions of 37 C.F.R. §1.97(c)(1) and (2), i.e., before a Final Action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311.

Serial No.: 09/545,334
Group Art Unit: 1649

Statement of Certification. The undersigned hereby certifies either that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

Karen K. Varley
Karen K. Varley
Agent for Applicant(s)
Registration No. 45,751

PIONEER HI-BRED INTERNATIONAL, INC.
Corporate Intellectual Property
7100 N.W. 62nd Avenue
P.O. Box 1000
Johnston, Iowa 50131-1000
Phone: (515) 334-6780
Facsimile: (515) 334-6883